



22932 El Toro Rd
Lake Forest, CA 92630

760.597.9255

info@prudentinvestors.com

CREATE
YOUR
FUTURE
TODAY®

Business Continuity Plan Disclosure Statement

Date Updated
March 26, 2025

Prudent Investors is committed to providing our clients with the highest level of service regarding the investments and assets they have entrusted to us. As part of this and pursuant to the Investment Advisers Act and the rules and guidance of the U.S. Securities and Exchange Commission, we have developed a Business Continuity Plan to define the strategies and plans that Prudent will use during a significant business disruption. A significant business disruption could include such events as a fire in Prudent Investors' office, a natural disaster, terrorist attack, pandemic, or citywide power disruption.

In such event, Prudent Investors will safeguard employee lives and firm property. We will work to re-establish the systems necessary to quickly recover and resume operations, protect records and allow customers to transact business to the extent reasonable and practical under the circumstances.

If you are not able to contact us through our regular telephone number (760-597-9255), please check our website (www.prudentinvestors.com) where an alternate number will be posted. For questions relating directly to accessing your funds and securities, please contact Charles Schwab & Co., Inc. at 800-515-2157 or Ceros (if your account is still held at National Financial Services) at 866-842-3356.

In the event of a pandemic or other form of viral outbreak, Prudent Investors may provide employees with electronic access to work from their homes and/or assess ways to make the office facilities more bio-hazard resistant.

As our business is now fully capable of operating remotely, only a state-wide disruption will affect our operations as our employees all reside in California. However, our ability to fully function is dependent on sources outside of our control, including the availability of electricity, telephones, Internet, transportation, and the functioning of institutions and markets worldwide. Nothing in Prudent Investors' Business Continuity Plan or this disclosure statement is intended to provide a guarantee or warranty regarding the actions or performance of Prudent Investors.

If you have questions about our business continuity plan, please contact us at 760-597-9255.